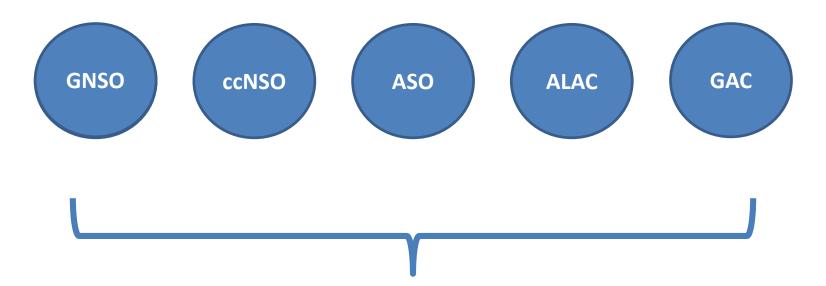


## The Who, What, Why, How and When of the Rejection Action Process





## **Empowered Community**

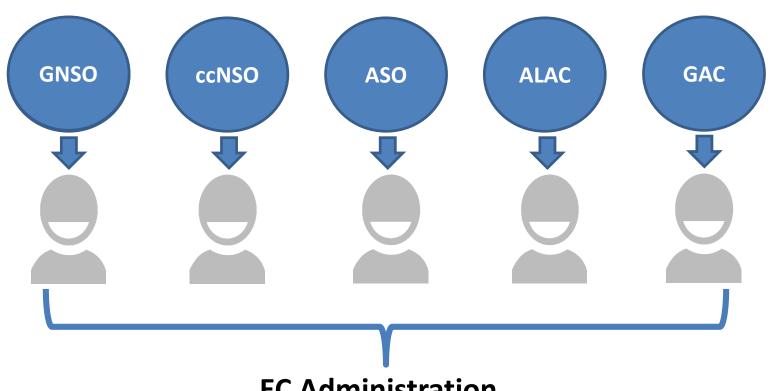


**Decisional Participants** 





## **Empowered Community**



**EC Administration** 





## Right to Reject Specified Actions

### **Actions**

**PTI Governance Actions** 

**IFR Recommendation Decisions** 

**Special IFR Recommendation Decisions** 

**SCWG Creation Decisions** 

**SCWG** Recommendation Decisions

**ICANN** Budgets

**IANA Budgets** 

**Operating Plans** 

Strategic Plans

**Standard Bylaw Amendments** 





## Why?

- CCWG Accountability
- Part of Accountability of ICANN, major powers to reject certain actions
- Trade-off between effectiveness of ICANN as organization and accountability -> strict timelines





## What is impact of Rejection Action?

**Example: ICANN budget** 

ICANN proposed Budget subject to rejection Action (Section 22.4 -ICANN Budget)

If a proposed ICANN Budget is rejected by the Empowered Community it has **no force and effect.** 

ICANN staff and the Board need to consider the explanation provided by the EC Administration as to why the EC has chosen to reject the ICANN Budget and propose a new ICANN Budget.





## **How Does It Work?**





**Start** 40 day public comment period Report BoD decision ECA notified ccNSO gets involved ...





Start40 day public comment periodReportBoD decisionECA notifiedccNSO gets involved ...

### **Seven Core Steps in the Escalation Process**





Escalation of a concern can be stopped at any point if a threshold is not met or if a solution is found.





### Rejection Action Board Notice

Start | 40 day public comment period | Report | BoD decision | ECA notified | ccNSO gets involved ...

### **Seven Core Steps in the Escalation Process**









Rejection Action Board Notice
Rejection Action Board Notification Date

Start

40 day public comment period

Report

BoD decision

ECA notified

ccNSO gets involved ...

### **Seven Core Steps in the Escalation Process**





Escalation of a concern can be stopped at any point if a threshold is not met or if a solution is found.







Start

40 day public comment period

Report BoD decision

**ECA** notified

ccNSO gets involved ...

### **Seven Core Steps in the Escalation Process**



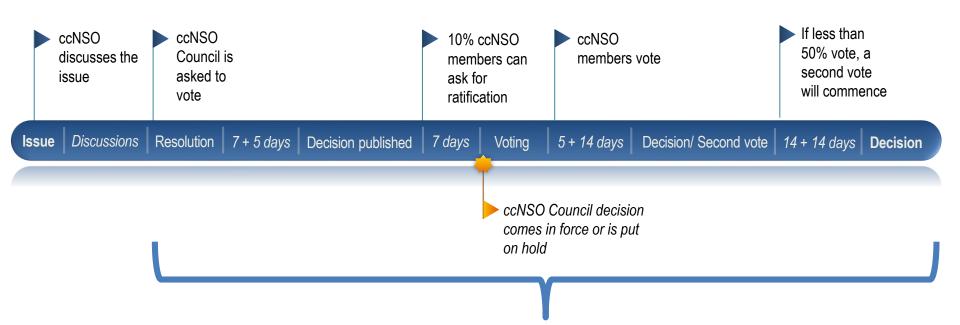


Escalation of a concern can be stopped at any point if a threshold is not met or if a solution is found.





## Full ccNSO Process, including the Rules of the ccNSO (2004)

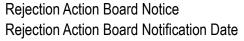


Worst scenario: 66 days\*

<sup>\*</sup> Does not include time for discussions/consultations







**Start** 

40 day public comment period

Report BoD decision **ECA** notified

ccNSO gets involved ...



Rejection Action Petition Period 21 days







ccNSO Rules do NOT apply.

No member ratification process.

ICANN Bylaws are paramount and include prohibitive timeline



## Who Can Submit a Petition?

**Guideline: Section 4.3.1** 

- WG mandated to submit public comments: e.g., SOPC
- ccTLDs
- Regional Organisations
- ccNSO Council



## Requirements For Submission

**Guideline: Section 4.3.2.2** 

- A submitted public comment
- Include Rationale for Petition
  - If Budget specify significant issues that were raised before
  - If on Standard Bylaw specific requirements
- ccNSO timeline: No later then 10 days after start of the Rejection Action Petition Period



## **Petition Review by ccNSO**

Guideline: Section 4.3.2.3 and 4.3.3

- Rejection Action Petition Manager to inform Council
- Rejection Action Manager to certify that requirements in section 4.3.2.2 are met
- Publication of certified petition on the ccNSO Webpage
- Certification and publication two days after submission of the Petition
- Opportunity for feed-back by community (Section 4.3.3)



## **Petition Review by ccNSO Council**

**Guideline: Section 4.3.4** 

- Council only looks at certified Petitions
- Council to decide on supporting petition
- Criteria:
  - Importance of the matter for ccTLD community
  - Other criteria
  - Feedback from community, if any

All decisions on all Petitions must be made by 20<sup>th</sup> day into the Rejection Action Petition Period



## Informing ccTLD community, ECA and other DPs

**Guideline: Section 4.3.5** 

- Council to inform ccTLD community of decision. NO Ratification vote!
- Council to inform other Decisional Participants
- Council to inform ECA & ICANN Secretary
- Notice to include:
  - Decision (Support or Reject) +Rationale
  - Petition + Rationale for Petition





## **Story line: ICANN Budget**

- SOPC has submitted comments public forum
- Now submits rejection action: concerns re budget not addressed.
- Petition is certified by rejection Action petition manager
- Council accepts Rejection Petition on day 20 of Rejection action petition Period.
- Informs ccTLD community

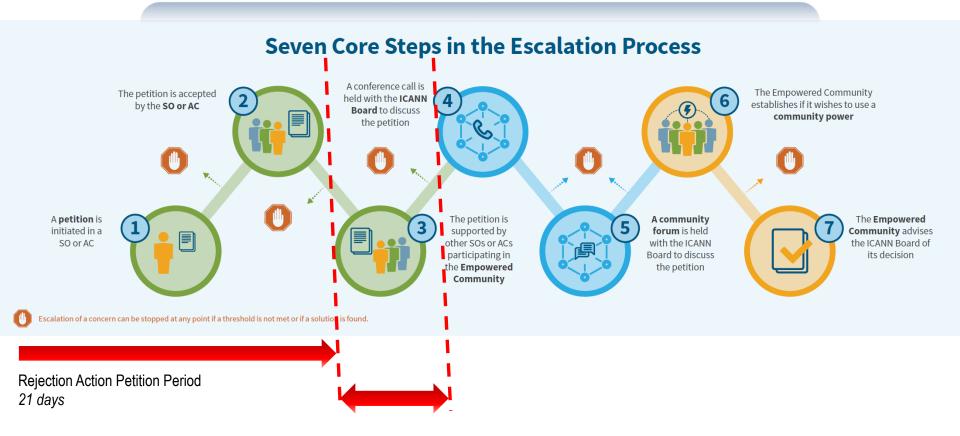


**Start** 

## **Country Code Names Supporting Organisation**







Rejection Action Petition Support Period 7 days







ccNSO Rules do NOT apply.
No member ratification
process.

ICANN Bylaws are paramount and include prohibitive timeline

If No Support:

**End of Process** 



## Two scenarios: Seek Support for ccNSO Petition Support other DP's petition

- General requirements
- Decisions need to be made in 7 days
  - Rules ccNSO do NOT apply (the Bylaws are paramount)



## ccNSO seeks support for ccNSO Petition

**Guideline: Section 4.3.6** 

- Other DP informed by decision to support Rejection Action Petition
- Rejection Action Petition Manager contacts other DPs
- Invite to support ccNSO Petition
- Decisions need to be made in 7 days after receiving notification
  - Rules ccNSO do NOT apply (the Bylaws are paramount)



## ccNSO decides to support other DP's petition

**Guideline: Section 4.4** 

- Other DP notifies the ccNSO about its decision
- Post request on ccNSO Website/wiki
- Council Decision to support Petition.
- Criteria:
  - Importance of the matter for ccTLD community
  - Other criteria
  - Feedback from community, if any
- Need for Community Forum?
- Rules ccNSO do NOT apply (Bylaws are paramount)





## **Story line**

- Other DP notifies the ccNSO about its decision
- Post request on ccNSO Website/wiki
- Council Decision to support Petition.
- Criteria:
  - Importance of the matter for ccTLD community
  - Other criteria
  - Feedback from community, if any
- Need for Community Forum?
- Rules ccNSO do NOT apply (Bylaws are paramount)





Rejection Action Board Notice
Rejection Action Board Notification Date

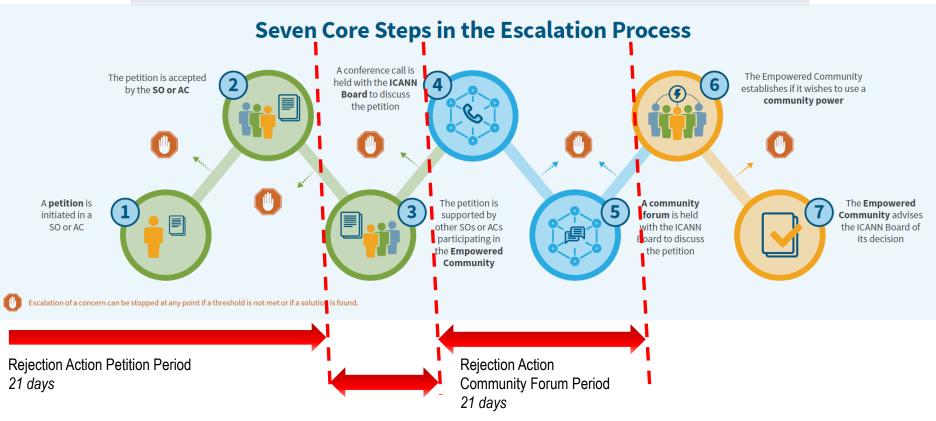
Start

40 day public comment period

Report BoD decision

ECA notified

ccNSO gets involved ...



Rejection Action Petition Support Period 7 days





## ccNSO roles

Rejection Action Community Forum Period 21 days



- Inform ccTLD community
- Solicit views from ccTLDs
- Submit views from ccTLDs

- Participate in discussions
- Share their views
- Submit comments to ccNSO Council
- Contribute to Community
   Forum



## **Community Forum ccNSO Actions**

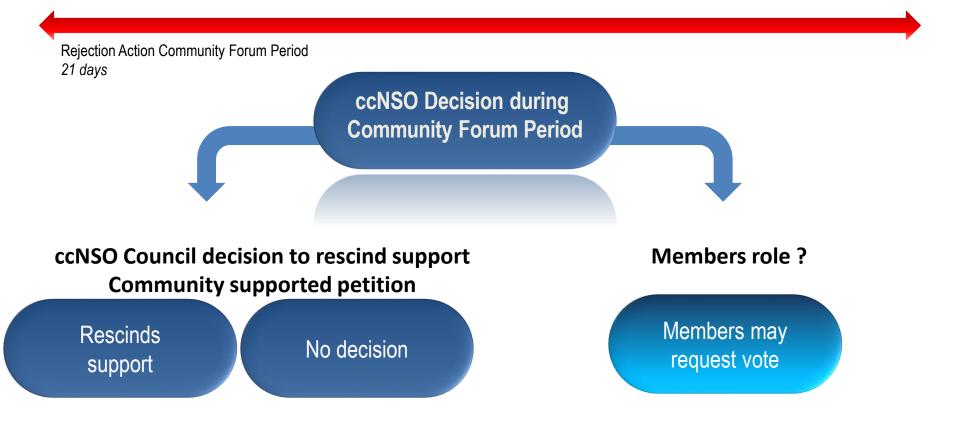
**Guideline: Section 4.5** 

- Council to determine how community is to provide feed-back
- Community to provide input, if any on Supported rejection petitions
- Post request on ccNSO Website/wiki





## ccNSO Decisions during Rejection Action Community Forum Period





## Community Forum Period ccNSO Council decisions

**Guideline: Section 4.6** 

- Opportunity to rescind decision to support Petition during Community Forum period
- Decision no later then 8 days before closure of Community Forum
- Decision subject to members ratification (ccNSO rules)





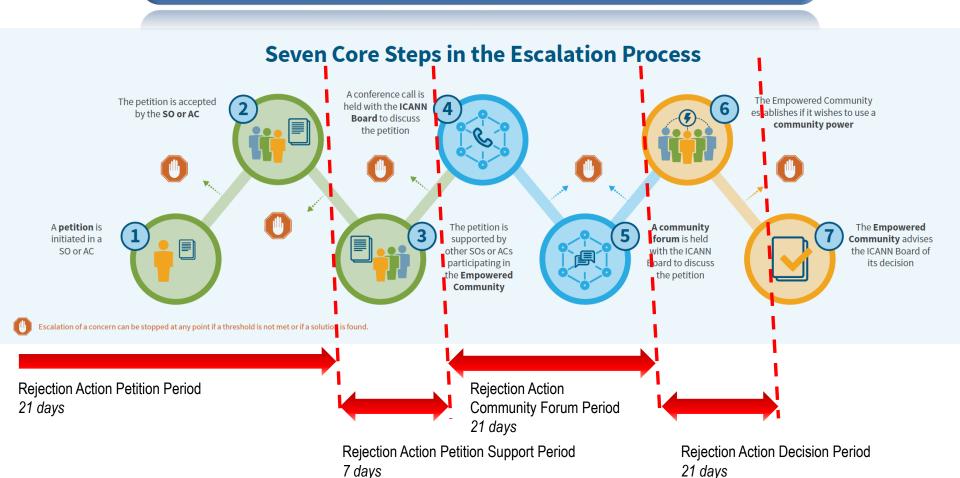
Rejection Action Board Notice Rejection Action Board Notification Date

**Start** 

40 day public comment period

Report BoD decision **ECA** notified

ccNSO gets involved ...

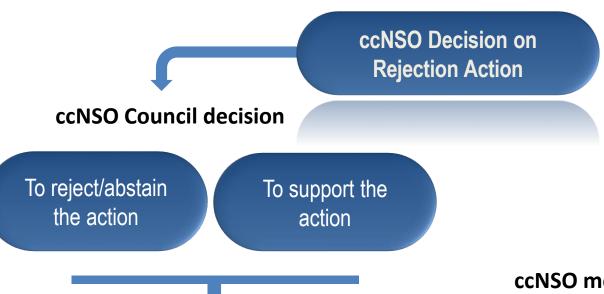






## Final ccNSO Rejection Action Decision

Rejection Action Decision Period 21 days



ccNSO members

10 % of ccNSO members call for ratification

ccNSO members vote too late: no decision



## **Final Decision on each Petition**

**Guideline: Section 4.6** 

- Council is required to take final decision no later than on the 13<sup>th</sup> day of the Rejection Action Petition Period
- Period start after community Forum period
- Decision is to Support, Reject or Abstain
- 2004 Rules of the ccNSO apply
  - 10 % members could ask for a vote
  - 7 days
  - If a vote requested -> ccNSO abstains
- Decision to be submitted no later than 20 days into Decision period to ECA, other DPs, ICANN Secretary and CCTLD COMMUNITY





Rejection Action Board Notice
Rejection Action Board Notification Date

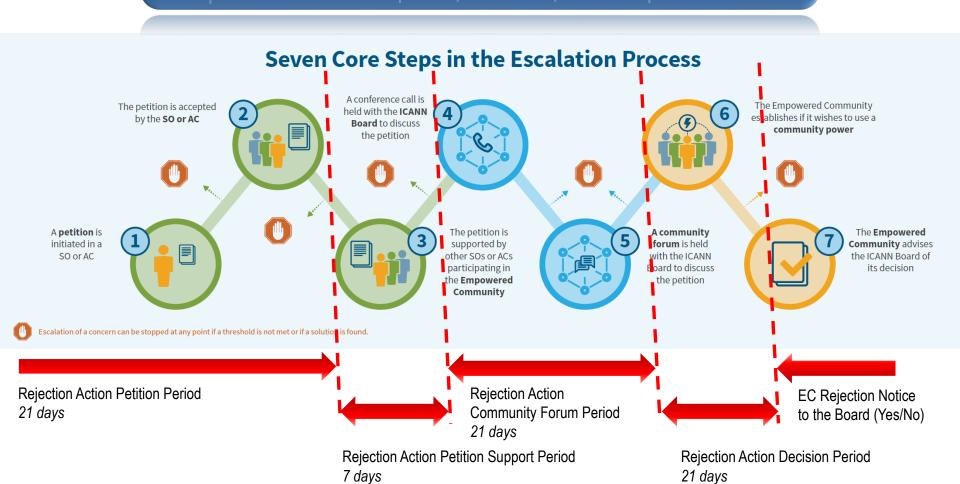
Start 40 day public comment period

Report

BoD decision

ECA notified

ccNSO gets involved ...







## **Story Line: Impact of Rejection Action**

Described in section 22.4 (ICANN Budget)

- (vii) An ICANN Budget that has been rejected by the EC pursuant to and in compliance with <u>Article 2</u> of Annex D shall have **no force and effect,** and shall be void *ab initio*.
- (viii) Following receipt of an EC Rejection Notice relating to an ICANN
  Budget, ICANN staff and the Board shall consider the explanation
  provided by the EC Administration as to why the EC has chosen to
  reject the ICANN Budget in determining the substance of such new
  ICANN Budget.
- New Budget: subject to rejection action procedure again
- Board to adopt Caretaker Budget as described in Bylaws Annex E